

**Surface Transportation Board, DOT**

**Pt. 1139, Subpt. A, App. I**

**§ 1139.7 Service.**

The detailed information called for herein shall be in writing and shall be verified by a person or persons having knowledge thereof. The original and 10 copies of each verified statement for the use of the Board shall be filed with the Secretary, Surface Transportation Board, Washington, DC 20423. One copy of each statement shall be sent by first-class mail to each of the regional offices of the Board in the area affected by the proposed increase, where it will be open to public inspection. A copy of each statement shall be mailed by first-class mail to each party of record in the last formal proceeding concerning a general rate increase in the affected area or territory. However, one copy of each statement shall be sent by express mail to any person undertaking to bear the cost. Written request for this expedited service must be made no less than 5 days before the statement is due to be filed with the Board. Otherwise, the service requirements of 49 CFR 1104.12 should be observed. Information with respect to carrier affiliates may be served on the

parties in summary form, if so desired. A copy of each statement shall be furnished to any interested person on request.

[47 FR 49577, Nov. 1, 1982, as amended at 53 FR 19302, May 27, 1988]

**§ 1139.8 Availability of underlying data.**

All underlying data used in preparation of the material outlined above shall be made available in the office of the party serving such verified matter during usual office hours for inspection by any party of record desiring to do so, and shall be made available to the Board upon request therefor. The underlying data shall be made available also at the hearing, but only if and to the extent specifically requested in writing and required by any party for the purpose of cross-examination. Since appendix I data are to be submitted on a combined carrier basis, any underlying individual carrier data used to complete appendix I should be furnished to the Board for its use as well as for the use of parties opposing the sought increases.

**APPENDIX I TO SUBPART A OF PART 1139—REVENUE NEED AND ALLOCATION TO TRAFFIC AT ISSUE**

[Cost allocation—see part II, line 13, ☐ Method A, ☐ Method B; check one; provide both]

Line No.	Item	Source <sup>1</sup>	Base calendar year—actual <sup>2</sup>	Present proforma year <sup>3</sup>	Restated proforma year <sup>4</sup>		
					Based on current revenues	Based on proposed revenues	Based on constructed revenue need <sup>5</sup>
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
<b>Part I. Revenue Need</b>							
1	Operating revenue .....	A.R. Sch. 2998, L. 3 ...	\$	\$	\$	\$	\$
2	Operating expenses .....	A.R. Sch. 2998, L. 10.					
3	Lease of distinct operating unit (net) .....	A.R. Sch. 2998, Net of Ls. 12 and 13.					
4	Miscellaneous deductions less other income	A.R. Sch. 2998 (L. 27 minus L. 20).					
5	Interest included in miscellaneous deductions.	A.R. Sch. 2998, L. 23.					
6	Income taxes on ordinary income <sup>6</sup> .....	A.R. Sch. 2998, L. 29.					
7	Extraordinary and prior period items .....	A.R. Sch. 2998, L. 34.					
8	Net income or loss <sup>7</sup> .....	A.R. Sch. 2998, L. 35.					
9	Sum of money above operating expenses ..	Sum of Ls. 4, 6 and 8.					
10	Percent owned and leased property to net tangible property (3 decimals).	A.R. Sch. 100, Col. (c) (L. 21+L. 23)÷L. 26.	%	%	%	%	%
11	Sum of money related to transportation .....	(L. 9×—% in L. 10) plus L. 3.					
12	System revenue need items and projected revenue need.	L. 2 plus L. 11.					